

Form X-17A-5  
FOCUS  
Report  
Part II  
Cover Page

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
FOCUS REPORT (FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT)  
Part II **11**

2026-08-25 04:06PM EDT  
Status: Accepted

OMB Approval

OMB Number: 3235-0123,  
3235-0749  
Estimated average burden hours  
per response: 12.00 (3235-  
0123) 16.00 (3235-0749)

(Please read instructions before preparing Form)

This report is being filed by a/an:

- 1) Broker-dealer not registered as an SBSD or MSBSP  
(stand-alone broker-dealer) ..... ☒ **12000**
- 2) Broker-dealer registered as an SBSD (broker-dealer SBSD) ..... ☐ **12001**
- 3) Broker-dealer registered as an MSBSP (broker-dealer MSBSP) ..... ☐ **12002**
- 4) SBSD without a prudential regulator and not registered as a broker-dealer (stand-alone SBSD) ..... ☐ **12003**
- 5) MSBSP without a prudential regulator and not registered as a broker-dealer (stand-alone MSBSP) ... ☐ **12004**
- Check here if respondent is an OTC derivatives dealer ..... ☐ **12005**

This report is being filed by a: Firm authorized to use models ☐ **12006** U.S. person ☒ **12007** Non-U.S. person ☐ **12008**

This report is being filed pursuant to (check applicable block(s)):

- 1) Rule 17a-5(a) ..... ☒ **16**
- 2) Rule 17a-5(b) ..... ☐ **17**
- 3) Special request by DEA or the Commission ..... ☐ **19**
- 4) Rule 18a-7 ..... ☐ **12999**
- 5) Other (explain: \_\_\_\_\_) ..... ☐ **26**

NAME OF REPORTING ENTITY

SEC FILE NO.

INTERACTIVE BROKERS LLC **13**

8-47257 **14**

ADDRESS OF PRINCIPAL PLACE OF BUSINESS (Do not use P.O. Box No.)

FIRM ID NO.

PICKWICK PLAZA **20**

36418 **15**

(No. and Street)

FOR PERIOD BEGINNING (MM/DD/YY)

GREENWICH **21** CT **22** 06830 **23**

07/01/26 **24**

(City)

(State/Province)

(Zip Code)

AND ENDING (MM/DD/YY)

UNITED STATES **12009**

07/31/26 **25**

(Country)

NAME OF PERSON TO CONTACT IN REGARD TO THIS REPORT

EMAIL ADDRESS

(AREA CODE) TELEPHONE NO.

James Menicucci **30** jmenicucci@interactivebrokers.com **12010** (203) 618-8085 **31**

NAME(S) OF SUBSIDIARIES OR AFFILIATES CONSOLIDATED IN THIS REPORT

OFFICIAL USE

\_\_\_\_\_ **32** \_\_\_\_\_ **33**

\_\_\_\_\_ **34** \_\_\_\_\_ **35**

\_\_\_\_\_ **36** \_\_\_\_\_ **37**

\_\_\_\_\_ **38** \_\_\_\_\_ **39**

Is this report consolidated or unconsolidated? ..... Consolidated ☐ **198** Unconsolidated ☒ **199**

Does respondent carry its own customer or security-based swap customer accounts? ..... Yes ☒ **40** No ☐ **41**

Check here if respondent is filing an audited report ..... ☐ **42**

EXECUTION: The registrant submitting this Form and its attachments and the person(s) by whom it is executed represent hereby that all information contained therein is true, correct and complete. It is understood that all required items, statements, and schedules are considered integral parts of this Form and that the submission of any amendment represents that all unamended items, statements, and schedules remain true, correct and complete as previously submitted.

Dated the \_\_\_\_\_ day of \_\_\_\_\_, 2 \_\_\_\_\_.

Signatures of:

Names of:

1) \_\_\_\_\_  
Principal Executive Officer or Comparable Officer

\_\_\_\_\_ **12011**

2) \_\_\_\_\_  
Principal Financial Officer or Comparable Officer

Principal Executive Officer or Comparable Officer  
James Menicucci **12012**

3) \_\_\_\_\_  
Principal Operations Officer or Comparable Officer

Principal Financial Officer or Comparable Officer  
\_\_\_\_\_ **12013**  
Principal Operations Officer or Comparable Officer

ATTENTION: Intentional misstatements and/or omissions of facts constitute federal criminal violations. (See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).)

Name of Firm: INTERACTIVE BROKERS LLC

Persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

As of: 07/31/26

FOCUS  
Report  
Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer (Not Authorized to use models)  
Stand-Alone SBSD (Not Authorized to use models)  
Broker-Dealer SBSD (Not Authorized to use models)  
Broker-Dealer MSBSP (Not Authorized to use models)

## Computation of Net Capital

|                                                                                                  |    |                 |       |
|--------------------------------------------------------------------------------------------------|----|-----------------|-------|
| 1. Total ownership equity from Item 1800                                                         | \$ | 13,875,380,323  | 3480  |
| 2. Deduct ownership equity not allowable for net capital                                         | \$ | (0)             | 3490  |
| 3. Total ownership equity qualified for net capital                                              | \$ | 13,875,380,323  | 3500  |
| 4. Add:                                                                                          |    |                 |       |
| A. Liabilities subordinated to claims of creditors allowable in computation of net capital       | \$ | 0               | 3520  |
| B. Other (deductions) or allowable credits (list)                                                | \$ | 0               | 3525  |
| 5. Total capital and allowable subordinated liabilities                                          | \$ | 13,875,380,323  | 3530  |
| 6. Deductions and/or charges                                                                     |    |                 |       |
| A. Total nonallowable assets from Statement of Financial Condition                               | \$ | 3,043,947,169   | 3540  |
| 1. Additional charges for customers' and non-customers' security accounts                        | \$ | 0               | 3550  |
| 2. Additional charges for customers' and non-customers' commodity accounts                       | \$ | 0               | 3560  |
| 3. Additional charges for customers' and non-customers' security-based swap accounts             | \$ |                 | 12051 |
| 4. Additional charges for customers' and non-customers' swap accounts                            | \$ |                 | 12052 |
| B. Aged fail-to-deliver                                                                          | \$ | 5,405,335       | 3570  |
| 1. number of items                                                                               |    | 180             | 3450  |
| C. Aged short security differences-less reserve of                                               | \$ | 0               | 3460  |
| number of items                                                                                  |    | 0               | 3470  |
| D. Secured demand note deficiency                                                                | \$ | 0               | 3590  |
| E. Commodity futures contracts and spot commodities - proprietary capital charges                | \$ | 0               | 3600  |
| F. Other deductions and/or charges                                                               | \$ | 546,082,372     | 3610  |
| G. Deductions for accounts carried under Rules 15c3-1(a)(6) and (c)(2)(x)                        | \$ | 0               | 3615  |
| H. Total deductions and/or charges                                                               | \$ | (3,595,434,876) | 3620  |
| 7. Other additions and/or allowable credits (list)                                               | \$ | 0               | 3630  |
| 8. Tentative net capital (net capital before haircuts)                                           | \$ | 10,279,945,447  | 3640  |
| 9. Haircuts on securities other than security-based swaps                                        |    |                 |       |
| A. Contractual securities commitments                                                            | \$ | 0               | 3660  |
| B. Subordinated securities borrowings                                                            | \$ | 0               | 3670  |
| C. Trading and investment securities                                                             | \$ |                 |       |
| 1. Bankers' acceptances, certificates of deposit, commercial paper, and money market instruments | \$ | 0               | 3680  |
| 2. U.S. and Canadian government obligations                                                      | \$ | 0               | 3690  |
| 3. State and municipal government obligations                                                    | \$ | 30,598,558      | 3700  |
| 4. Corporate obligations                                                                         | \$ | 0               | 3710  |
| 5. Stocks and warrants                                                                           | \$ | 0               | 3720  |
| 6. Options                                                                                       | \$ | 0               | 3730  |
| 7. Arbitrage                                                                                     | \$ | 0               | 3732  |
| 8. Risk-based haircuts computed under 17 CFR 240.15c3-1a or 17 CFR 240.18a-1a                    | \$ | 2,674,575       | 12028 |
| 9. Other securities                                                                              | \$ | 0               | 3734  |
| D. Undue concentration                                                                           | \$ | 0               | 3650  |
| E. Other (List: )                                                                                | \$ | 8,192,777       | 3736  |
| 10. Haircuts on security-based swaps                                                             | \$ |                 | 12053 |
| 11. Haircuts on swaps                                                                            | \$ |                 | 12054 |
| 12. Total haircuts (sum of Lines 9A-9E, 10, and 11)                                              | \$ | (41,465,910)    | 3740  |
| 13. Net capital (Line 8 minus Line 12)                                                           | \$ | 10,238,479,537  | 3750  |

Items on this page to be reported by a: Stand-Alone Broker-Dealer  
Broker-Dealer SBSD (other than OTC Derivatives Dealer)  
Broker-Dealer MSBSP

### Calculation of Excess Tentative Net Capital (If Applicable)

|                                                                                                            |    |  |       |
|------------------------------------------------------------------------------------------------------------|----|--|-------|
| 1. Tentative net capital                                                                                   | \$ |  | 3640  |
| 2. Minimum tentative net capital requirement                                                               | \$ |  | 12055 |
| 3. Excess tentative net capital (difference between Lines 1 and 2)                                         | \$ |  | 12056 |
| 4. Tentative net capital in excess of 120% of minimum tentative net capital requirement reported on Line 2 | \$ |  | 12057 |

### Calculation of Minimum Net Capital Requirement

|                                                                                                                                            |    |               |       |
|--------------------------------------------------------------------------------------------------------------------------------------------|----|---------------|-------|
| 5. Ratio minimum net capital requirement                                                                                                   |    |               |       |
| A. 62/3% of total aggregate indebtedness (Line Item 3840)                                                                                  | \$ |               | 3756  |
| B. 2% of aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3                                    | \$ | 1,956,489,317 | 3870  |
| i. Minimum CFTC net capital requirement (if applicable)                                                                                    | \$ | 333,440,819   | 7490  |
| C. Percentage of risk margin amount computed under 17 CFR 240.15c3-1(a)(7)(i) or (a)(10)                                                   | \$ |               | 12058 |
| D. For broker-dealers engaged in reverse repurchase agreements, 10% of the amounts in 17 CFR 240.15c3-1(a)(9)(i)-(iii)                     | \$ |               | 12059 |
| E. Minimum ratio requirement (sum of Lines 5A, 5B, 5C, and/or 5D, as applicable)                                                           | \$ | 1,956,489,317 | 12060 |
| 6. Fixed-dollar minimum net capital requirement                                                                                            | \$ | 333,440,819   | 3880  |
| 7. Minimum net capital requirement (greater of Lines 5E and 6)                                                                             | \$ | 1,956,489,317 | 3760  |
| 8. Excess net capital (Item 3750 minus Item 3760)                                                                                          | \$ | 8,281,990,220 | 3910  |
| 9. Net capital and tentative net capital in relation to early warning thresholds                                                           |    |               |       |
| A. Net capital in excess of 120% of minimum net capital requirement reported on Line 7                                                     | \$ | 7,890,692,357 | 12061 |
| B. Net capital in excess of 5% of combined aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3. | \$ | 5,347,256,245 | 3920  |

### Computation of Aggregate Indebtedness (If Applicable)

|                                                                                                                                                      |    |  |      |
|------------------------------------------------------------------------------------------------------------------------------------------------------|----|--|------|
| 10. Total aggregate indebtedness liabilities from Statement of Financial Condition (Item 1230)                                                       | \$ |  | 3790 |
| 11. Add                                                                                                                                              |    |  |      |
| A. Drafts for immediate credit                                                                                                                       | \$ |  | 3800 |
| B. Market value of securities borrowed for which no equivalent value is paid or credited                                                             | \$ |  | 3810 |
| C. Other unrecorded amounts (list)                                                                                                                   | \$ |  | 3820 |
| D. Total additions (sum of Line Items 3800, 3810, and 3820)                                                                                          | \$ |  | 3830 |
| 12. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts (see Rule 15c3-1(c)(1)(vii))                                               | \$ |  | 3838 |
| 13. Total aggregate indebtedness (sum of Line Items 3790 and 3830)                                                                                   | \$ |  | 3840 |
| 14. Percentage of aggregate indebtedness to net capital (Item 3840 divided by Item 3750)                                                             | %  |  | 3850 |
| 15. Percentage of aggregate indebtedness to net capital <u>after</u> anticipated capital withdrawals (Item 3840 divided by Item 3750 less Item 4880) | %  |  | 3853 |

### Calculation of Other Ratios

|                                                                                                                                                                     |   |       |      |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------|------|
| 16. Percentage of net capital to aggregate debits (Item 3750 divided by Item 4470)                                                                                  | % | 10.47 | 3851 |
| 17. Percentage of net capital, <u>after</u> anticipated capital withdrawals, to aggregate debits (Item 3750 less Item 4880, divided by Item 4470)                   | % | 10.47 | 3854 |
| 18. Percentage of debt to debt-to-equity total, computed in accordance with Rule 15c3-1(d)                                                                          | % | 0.00  | 3860 |
| 19. Options deductions/net capital ratio (1000% test) total deductions exclusive of liquidating equity under Rule 15c3-1(a)(6) and (c)(2)(x) divided by net capital | % | 0.00  | 3852 |

Items on this page to be reported by a: Stand-Alone Broker-Dealer  
Broker-Dealer SBSB  
Broker-Dealer MSBSP

## CREDIT BALANCES

|                                                                                                                                                                                                  |                   |      |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|------|
| 1. Free credit balances and other credit balances in customers' security accounts (see Note A) . . . . .                                                                                         | \$ 90,648,581,981 | 4340 |
| 2. Monies borrowed collateralized by securities carried for the accounts of customers (see Note B) . . . . .                                                                                     | \$ 12,906,862,239 | 4350 |
| 3. Monies payable against customers' securities loaned (see Note C) . . . . .                                                                                                                    | \$ 21,393,804,038 | 4360 |
| 4. Customers' securities failed to receive (see Note D) . . . . .                                                                                                                                | \$ 150,590,713    | 4370 |
| 5. Credit balances in firm accounts which are attributable to principal sales to customers . . . . .                                                                                             | \$ 0              | 4380 |
| 6. Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days . . . . .                                                                | \$ 28,972,133     | 4390 |
| 7. ** Market value of short security count differences over 30 calendar days old . . . . .                                                                                                       | \$ 68,881,005     | 4400 |
| 8. ** Market value of short securities and credits (not to be offset by longs or by debits) in all suspense accounts over 30 calendar days . . . . .                                             | \$ 45,410,414     | 4410 |
| 9. Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days . . . . . | \$ 5,452,605      | 4420 |
| 10. Other (List: _____) . . . . .                                                                                                                                                                | \$ 111,378,191    | 4425 |
| 11. TOTAL CREDITS (sum of Lines 1-10) . . . . .                                                                                                                                                  | 125,359,933,319   | 4430 |

## DEBIT BALANCES

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                    |       |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-------|
| 12. ** Debit balances in customers' cash and margin accounts excluding unsecured accounts and accounts doubtful of collection (see Note E) . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                 | \$ 80,168,837,005  | 4440  |
| 13. Securities borrowed to effectuate short sales by customers and securities borrowed to make delivery on customers' securities failed to deliver . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                         | \$ 4,748,766,587   | 4450  |
| 14. Failed to deliver of customers' securities not older than 30 calendar days . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | \$ 0               | 4460  |
| 15. Margin required and on deposit with the Options Clearing Corporation for all option contracts written or purchased in customer accounts (see Note F) . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                   | \$ 12,906,862,239  | 4465  |
| 16. Margin required and on deposit with a clearing agency registered with the Commission under section 17A of the Act (15 U.S.C. 78q-1) or a derivatives clearing organization registered with the Commodity Futures Trading Commission under section 5b of the Commodity Exchange Act (7 U.S.C. 7a-1) related to the following types of positions written, purchased or sold in customer accounts: (1) security futures products and (2) futures contracts (and options thereon) carried in a securities account pursuant to an SRO portfolio margining rule (see Note G) . . . . . | \$ 0               | 4467  |
| 17. Margin required and on deposit with a clearing agency registered with the Commission under section 17A of the Exchange Act (15 U.S.C. 78q-1) resulting from the following types of transactions in U.S. Treasury securities in customer accounts that have been cleared, settled, and novated by the clearing agency: (1) purchases and sales of U.S. Treasury securities; and (2) U.S. Treasury securities repurchase and reverse repurchase agreements (see Note H) . . . . .                                                                                                  | \$ 12843           |       |
| 18. Other (List: _____) . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | \$ 0               | 4469  |
| 19. **Aggregate debit items (sum of Lines 12-18) . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | \$ 97,824,465,831  | 4470  |
| 20. **Aggregate debit items reduction (for alternative method only)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                    |       |
| A. Less 3% (if applicable) (See Rule 15c3-1(a)(1)(ii)) (3% x Line Item 4470) . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | \$ ( )             | 4471  |
| B. Less 2% (if applicable) (See Rules 15c3-1(a)(1)(ii) and 15c3-3(e)(3)(v)) (2% x Line Item 4470) . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | \$ (1,956,489,317) | 12849 |
| 21. **TOTAL DEBITS (Line 19 less [Line 20A or 20B, as applicable]) . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | \$ 95,867,976,514  | 4472  |

## RESERVE COMPUTATION

|                                                                                                                   |                   |      |
|-------------------------------------------------------------------------------------------------------------------|-------------------|------|
| 22. Excess of total debits over total credits (line 21 less line 11). . . . .                                     | \$ 0              | 4480 |
| 23. Excess of total credits over total debits (line 11 less line 21). . . . .                                     | \$ 29,491,956,805 | 4490 |
| 24. If computation is made monthly as permitted, enter 105% of excess of total credits over total debits. . . . . | \$ 4500           |      |
| 25. Amount held on deposit in "Reserve Bank Account(s)", including                                                |                   |      |
| \$ 28,133,930,345 4505 value of qualified securities, at end of reporting period . . . . .                        | \$ 28,885,794,327 | 4510 |
| 26. Amount of deposit (or withdrawal) including                                                                   |                   |      |
| \$ 903,195,988 4515 value of qualified securities . . . . .                                                       | \$ 903,888,709    | 4520 |
| 27. New amount in Reserve Bank Account(s) after adding deposit or subtracting withdrawal including                |                   |      |
| \$ 29,037,126,333 4525 value of qualified securities . . . . .                                                    | \$ 29,789,683,036 | 4530 |
| 28. Date of deposit (MM/DD/YY) . . . . .                                                                          | 08/01/26          | 4540 |

Items on this page to be reported by: Futures Commission Merchant

## SEGREGATION REQUIREMENTS

|                                                                                       |                   |      |
|---------------------------------------------------------------------------------------|-------------------|------|
| 1. Net ledger balance                                                                 |                   |      |
| A. Cash                                                                               | \$ 10,263,117,995 | 7010 |
| B. Securities (at market)                                                             | \$ 0              | 7020 |
| 2. Net unrealized profit (loss) in open futures contracts traded on a contract market | \$ (359,621,411)  | 7030 |
| 3. Exchange traded options                                                            |                   |      |
| A. Add market value of open option contracts purchased on a contract market           | \$ 723,515,871    | 7032 |
| B. Deduct market value of open option contracts granted (sold) on a contract market   | \$ (611,127,743)  | 7033 |
| 4. Net equity (deficit) (total of Lines 1, 2, and 3)                                  | \$ 10,015,884,712 | 7040 |
| 5. Accounts liquidating to a deficit and accounts with debit balances                 |                   |      |
| - gross amount                                                                        | \$ 2,346,481      | 7045 |
| Less: amount offset by customer owned securities                                      | \$ (0)            | 7047 |
| 6. Amount required to be segregated (add lines 4 and 5)                               | \$ 10,018,231,193 | 7060 |

## FUNDS IN SEGREGATED ACCOUNTS

|                                                                                             |                   |      |
|---------------------------------------------------------------------------------------------|-------------------|------|
| 7. Deposited in segregated funds bank accounts                                              |                   |      |
| A. Cash                                                                                     | \$ 2,881,734,990  | 7070 |
| B. Securities representing investments of customers' funds (at market)                      | \$ 2,811,722,725  | 7080 |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | \$ 0              | 7090 |
| 8. Margins on deposit with derivatives clearing organizations of contract markets           |                   |      |
| A. Cash                                                                                     | \$ 1,111,220,797  | 7100 |
| B. Securities representing investments of customers' funds (at market)                      | \$ 3,385,538,822  | 7110 |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | \$ 0              | 7120 |
| 9. Net settlement from (to) derivatives clearing organizations of contract markets          | \$ 93,528,427     | 7130 |
| 10. Exchange traded options                                                                 |                   |      |
| A. Value of open long option contracts                                                      | \$ 723,515,871    | 7132 |
| B. Value of open short option contracts                                                     | \$ (611,127,741)  | 7133 |
| 11. Net equities with other FCMs                                                            |                   |      |
| A. Net liquidating equity                                                                   | \$ 0              | 7140 |
| B. Securities representing investments of customers' funds (at market)                      | \$ 0              | 7160 |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | \$ 0              | 7170 |
| 12. Segregated funds on hand (describe: _____)                                              | \$ 0              | 7150 |
| 13. Total amount in segregation (add lines 7 through 12)                                    | \$ 10,396,133,891 | 7180 |
| 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)                 | \$ 377,902,698    | 7190 |
| 15. Management Target Amount for Excess funds in segregation                                | \$ 155,000,000    | 7194 |
| 16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess   | \$ 222,902,698    | 7198 |

Items on this page to be reported by: Futures Commission Merchant

### CLEARED SWAPS CUSTOMER REQUIREMENTS

|                                                                                     |               |      |
|-------------------------------------------------------------------------------------|---------------|------|
| 1. Net ledger balance                                                               |               |      |
| A. Cash                                                                             | \$ 16,825,380 | 8500 |
| B. Securities (at market)                                                           | \$ 0          | 8510 |
| 2. Net unrealized profit (loss) in open cleared swaps                               | \$ 0          | 8520 |
| 3. Cleared swaps options                                                            |               |      |
| A. Market value of open cleared swaps option contracts purchased                    | \$ 5,412,008  | 8530 |
| B. Market value of open cleared swaps option contracts granted (sold)               | \$ (0)        | 8540 |
| 4. Net equity (deficit) (add lines 1, 2 and 3)                                      | \$ 22,237,388 | 8550 |
| 5. Accounts liquidating to a deficit and accounts with debit balances               |               |      |
| - gross amount                                                                      | \$ 42         | 8560 |
| Less: amount offset by customer owned securities                                    | \$ ( )        | 8570 |
| 6. Amount required to be segregated for cleared swaps customers (add Lines 4 and 5) | \$ 22,237,430 | 8590 |

### FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS

|                                                                                                                   |               |      |
|-------------------------------------------------------------------------------------------------------------------|---------------|------|
| 7. Deposited in cleared swaps customer segregated accounts at banks                                               |               |      |
| A. Cash                                                                                                           | \$ 14,145,661 | 8600 |
| B. Securities representing investment of cleared swaps customers' funds (at market)                               | \$ 0          | 8610 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                             | \$ 0          | 8620 |
| 8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts       |               |      |
| A. Cash                                                                                                           | \$ 6,798,618  | 8630 |
| B. Securities representing investment of cleared swaps customers' funds (at market)                               | \$ 0          | 8640 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                             | \$ 0          | 8650 |
| 9. Net settlement from (to) derivatives clearing organizations                                                    | \$ 3,029      | 8660 |
| 10. Cleared swaps options                                                                                         |               |      |
| A. Value of open cleared swaps long option contracts                                                              | \$ 5,412,009  | 8670 |
| B. Value of open cleared swaps short option contracts                                                             | \$ (0)        | 8680 |
| 11. Net equities with other FCMs                                                                                  |               |      |
| A. Net liquidating equity                                                                                         | \$ 0          | 8690 |
| B. Securities representing investment of cleared swaps customers' funds (at market)                               | \$ 0          | 8700 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                             | \$ 0          | 8710 |
| 12. Cleared swaps customer funds on hand (describe: )                                                             | \$ 0          | 8715 |
| 13. Total amount in cleared swaps customer segregation (add Lines 7 through 12)                                   | \$ 26,359,317 | 8720 |
| 14. Excess (deficiency) funds in cleared swaps customer segregation (subtract Line 6 from Line 13)                | \$ 4,121,887  | 8730 |
| 15. Management target amount for excess funds in cleared swaps segregated accounts                                | \$ 2,000,000  | 8760 |
| 16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess | \$ 2,121,887  | 8770 |

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD  
IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES  
AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

2026-08-25 04:06PM EDT  
Status: Accepted

Items on this page to be reported by a: Futures Commission Merchant

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

|                                                                                                                                                                     |    |               |      |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------|------|
| Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder ..... | \$ |               | 7305 |
| 1. Net ledger balance - Foreign futures and foreign option trading - All Customers                                                                                  |    |               |      |
| A. Cash .....                                                                                                                                                       | \$ | 1,241,454,309 | 7315 |
| B. Securities (at market) .....                                                                                                                                     | \$ |               | 7317 |
| 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade .....                                                                  | \$ | 12,125,520    | 7325 |
| 3. Exchange traded options                                                                                                                                          |    |               |      |
| A. Market value of open option contracts purchased on a foreign board of trade .....                                                                                | \$ | 607,252       | 7335 |
| B. Market value of open contracts granted (sold) on a foreign board of trade .....                                                                                  | \$ | (337,109)     | 7337 |
| 4. Net equity (deficit)(add lines 1. 2. and 3.) .....                                                                                                               | \$ | 1,253,849,972 | 7345 |
| 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount .....                                                                          | \$ | 397,954       | 7351 |
| Less: amount offset by customer owned securities .....                                                                                                              | \$ | ( )           | 7352 |
|                                                                                                                                                                     | \$ | 397,954       | 7354 |
| 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) .....                                                  | \$ | 1,254,247,926 | 7355 |
| 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. ....                                                              | \$ | 1,254,247,926 | 7360 |

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD  
IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES  
AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

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Items on this page to be reported by: Futures Commission Merchant

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks

A. Banks located in the United States ..... \$ 212,284,912 7500  
B. Other banks qualified under 17 CFR. 30.7  
Name(s): JPMorgan, BMO Harris 7510 \$ 7520 \$ 212,284,912 7530

2. Securities

A. In safekeeping with banks located in the United States ..... \$ 299,403,657 7540  
B. In safekeeping with other banks designated by 17 CFR. 30.7  
Name(s): 7550 \$ 7560 \$ 299,403,657 7570

3. Equities with registered futures commission merchants

A. Cash ..... \$ 7580  
B. Securities ..... \$ 7590  
C. Unrealized gain (loss) on open futures contracts ..... \$ 7600  
D. Value of long option contracts ..... \$ 7610  
E. Value of short option contracts ..... \$( ) 7615 \$ 7620

4. Amounts held by clearing organizations of foreign boards of trade

Name(s): 7630  
A. Cash ..... \$ 7640  
B. Securities ..... \$ 7650  
C. Amount due to (from) clearing organizations - daily variation ..... \$ 7660  
D. Value of long option contracts ..... \$ 7670  
E. Value of short option contracts ..... \$( ) 7675 \$ 7680

5. Amounts held by members of foreign boards of trade

Name(s): BBVA, IBC, IBUK, IBJP, IBHK, IBA, Ideal CTV 7690  
A. Cash ..... \$ 752,165,436 7700  
B. Securities ..... \$ 173,822 7710  
C. Unrealized gain (loss) on open futures contracts ..... \$ 123,987,270 7720  
D. Value of long option contracts ..... \$ 607,252 7730  
E. Value of short option contracts ..... \$( 337,109 ) 7735 \$ 876,596,671 7740

6. Amounts with other depositories designated by a foreign board of trade

Name(s): 7750 ..... \$ 7760

7. Segregated funds on hand (describe: ) ..... \$ 7765

8. Total funds in separate 17 CFR 30.7 accounts ..... \$ 1,388,285,240 7770

9. Excess (deficiency) set aside funds for secured amount

(Line Item 7770 minus Line Item 7360) ..... \$ 134,037,314 7380

10. Management target amount for excess funds in separate 17 CFR 30.7 accounts ..... \$ 80,000,000 7780

11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess ..... \$ 54,037,314 7785